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CLAIM AMENDMENTS

Cancel claim 85 and amend the claims as follows:

Claims 1-23 (Cancelled).

24. (Previously presented) An optical identification element for identifying an item, comprising:

a substrate, at least a portion of said substrate being made of a substantially single material and having at least one diffraction grating embedded therein, said grating having a resultant refractive variation within the substantially single material at a grating location;

said grating providing an output optical signal indicative of a code when illuminated by an incident light signal propagating from outside the substrate, said output signal being a result of passive, non-resonant scattering from said grating when illuminated by said incident light signal; and

the element being at least partially disposed on the item.

- 25. (previously presented) The apparatus of claim 24 wherein said refractive index variation comprises at least one refractive index pitch superimposed at said grating location.
- 26. (previously presented) The apparatus of claim 24 wherein said refractive index variation comprises a plurality of refractive index pitches superimposed at said grating location.

- 27. (previously presented) The apparatus of claim 24 wherein said substrate is made of a material selected from the group: glass, silica, plastic, rubber, and polymer.
- 28. (previously presented) The apparatus of claim 24 wherein said code comprises a plurality of digital bits.
- 29. (previously presented) The apparatus of claim 24 wherein said code comprises at least a predetermined number of bits, said number being: 3, 5, 7, 9, 10, 12, 14, 16, 18, 20, 24, 28, 30, 40, 50, or 100.
- 30. (previously presented) The apparatus of claim 24 wherein said code comprises a plurality of bits, each bit having a plurality of states.
- 31. (previously presented) The apparatus of claim 24 wherein said code comprises a plurality of bits, each bit having a corresponding spatial location in said optical output signal and each bit in said code having a value related to the intensity of said output optical signal at the spatial location of each bit.
- 32. (previously presented) The apparatus of claim 31 wherein the value of said intensity is related to the magnitude of refractive index variation of a corresponding refractive index pitch in said grating.
- 33. (previously presented) The apparatus of claim 24 wherein said code comprises a plurality of digital bits, each bit having a corresponding spatial location in said optical output signal and each bit in said code having a binary value related to the intensity of said output optical signal at the spatial location of each bit.
- 34. (previously presented) The apparatus of claim 33 wherein the value of each bit is related to the presence or absence of a corresponding refractive index pitch in said grating.

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- 35. (previously presented) The apparatus of claim 24 wherein said incident light comprises a single wavelength.
- 36. (previously presented) The apparatus of claim 24 wherein said incident light comprises a plurality of wavelengths or a single wavelength scanned over a predetermined wavelength range.
- 37. (previously presented) The apparatus of claim 36 wherein said code comprises a plurality of bits, and each bit in said code having a value related to the intensity of said output optical signal at a wavelength corresponding to each bit.
- 38. (previously presented) The apparatus of claim 37 wherein the value of said intensity is related to the magnitude of refractive index variation of a corresponding refractive index pitch in said grating.
- 39. (previously presented) The apparatus of claim 36 wherein said code comprises a plurality of digital bits, and each bit in said code having a binary value related to the intensity of said output optical signal at the wavelength corresponding to each bit.
- 40. (previously presented) The apparatus of claim 39 wherein the value of each bit is related to the presence or absence of a corresponding refractive index pitch in said grating.
- 41. (previously presented) The apparatus of claim 24 wherein said substrate has a length that is less than about 1000 microns.
- 42. (previously presented) The apparatus of claim 24 wherein said substrate has a diameter that is less than about 1000 microns.

43. (previously presented) The apparatus of claim 24 wherein said substrate has a reflective coating disposed thereon.

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- 44. (previously presented) The apparatus of claim 24 wherein said substrate has a coating disposed on at least a portion of said substrate, at least a portion of said coating being made of a material that allows sufficient amount of said incident light signal to pass through said material to allow detection of said code.
- 45. (previously presented) The apparatus of claim 24 wherein said substrate has a coating material disposed on at least a portion of said substrate, said coating comprising a polymer.
- 46. (previously presented) The apparatus of claim 24 wherein said substrate has a magnetic or electric charge polarization.
- 47. (previously presented) The apparatus of claim 24 wherein said substrate has geometry having holes therein or having protruding sections therein.
- 48. (previously presented) The apparatus of claim 24 wherein at least a portion of said substrate has an end cross sectional geometry selected from the group: circular, square, rectangular, elliptical, clam-shell, D-shaped, and polygon.
- 49. (previously presented) The apparatus of claim 24 wherein at least a portion of said substrate has a side view geometry selected from the group: circular, square, rectangular, elliptical, clam-shell, D-shaped, and polygon.
- 50. (previously presented) The apparatus of claim 24 wherein at least a portion of said substrate has a 3-D shape selected from the group: a cylinder, a sphere, a cube, and a pyramid.

(previously presented) The apparatus of claim 24 wherein said substrate 51. has a grating region where said grating is located and a non-grating region where said grating is not located; and wherein said substrate has a plurality of grating regions.

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- (previously presented) The apparatus of claim 24 wherein said substrate 52. has a grating region where said grating is located and a non-grating region where said grating is not located; and wherein said grating region has a refractive index that is greater than that of said non-grating region.
- (previously presented) The apparatus of claim 24 wherein said substrate 53. has a grating region where said grating is located and a non-grating region where said grating is not located; and wherein said grating region has a refractive index that is not greater than that of said non-grating region.
- (previously presented) The apparatus of claim 24 wherein said incident 54. light is incident on said substrate along a longitudinal grating axis of said grating.
- (previously presented) The apparatus of claim 24 wherein said incident 55. light is incident on said substrate at an angle to a longitudinal grating axis of said grating.
- (previously presented) The apparatus of claim 24 wherein said incident 56. light comprises laser light.
- (previously presented) The apparatus of claim 24 wherein said grating 57. comprises a thin grating or a blazed grating.
- (previously presented) The apparatus of claim 24 wherein said substrate 58. comprises a plurality of said gratings.
- (previously presented) The apparatus of claim 24 wherein said substrate 59. comprises a plurality of said gratings each at different locations within said substrate.

- 60. (previously presented) The apparatus of claim 24 wherein said substrate comprises a particle or bead.
- 61. (previously presented) The apparatus of claim 24 wherein at least a portion of said substrate is disposed on an outer surface of the item.
- 62. (previously presented) The apparatus of claim 24 wherein said substrate is disposed within said item and said item is made of a material that allows said code to be detected from output signal.
- 63. (previously presented) The apparatus of claim 24, where the item is selected from the group, comprising: large or small objects, products, solids, powders, liquids, gases, plants, currency, ID cards, minerals, cells and/or animals.
- 64. (previously presented) The apparatus of claim 24, where said code comprises a digital code indicative of information relating to: identity, type of item, lot number, manufacturer, serial number, date code, or code error checking.
- 65. (previously presented) An item having an optical identification element disposed therein, comprising:

a substrate, at least a portion of said substrate being made of a substantially single material and having at least one diffraction grating embedded therein, said grating having a resultant refractive index variation with the substantially single material at a grating location;

said grating providing an output optical signal indicative of a code when illuminated by an incident light signal propagating from outside the substrate, said code identifying at least one of the element and said item, said output signal being a result of

passive, non-resonant scattering from said grating when illuminated by said incident light signal; and

said code identifying the item.

- 66. (previously presented) The apparatus of claim 65 wherein said refractive index variation comprises at least one refractive index pitch superimposed at said grating location.
- 67. (previously presented) The apparatus of claim 65 wherein said refractive index variation comprises a plurality of refractive index pitches superimposed at said grating location.
- 68. (previously presented) The apparatus of claim 65 wherein said substrate comprises a particle or bead.
- 69. (previously presented) The apparatus of claim 65, where the item is selected from the group, comprising: large or small objects, products, solids, powders, liquids, gases, plants, currency, ID cards, minerals, cells and/or animals.
- 70. (previously presented) The apparatus of claim 65, where said code comprises a digital code indicative of information relating to: identity, type of item, lot number, manufacturer, serial number, date code, or code error checking.
- 71. (previously presented) A method of reading a code associated with an optical identification element that is disposed on an item, the element having a substrate, at least a portion of said substrate being made of a substantially single material and having a diffraction grating embedded therein, said grating having a resultant refractive index variation within the substantially single material at a grating location, comprising:

illuminating said element with incident light propagating from outside the substrate, said grating providing an output light signal indicative of the code, said output signal being a result of passive, non-resonant scattering from said grating when illuminated by said incident light signal; and

reading said output light signal and detecting said code therefrom.

- 72. (previously presented) The method of claim 71 wherein said refractive index variation comprises at least one refractive index pitch superimposed at said grating location.
- 73. (previously presented) The method of claim 71 wherein said refractive index variation comprises a plurality of refractive index pitches superimposed at said grating location.
- 74. (previously presented) The method of claim 71 wherein said element comprises a particle or bead.
- 75. (previously presented) The method of claim 71, where the item is selected from the group, comprising: large or small objects, products, solids, powders, liquids, gases, plants, currency, ID cards, minerals, cells and/or animals.
- 76. (previously presented) The method of claim 71, where said code comprises a digital code indicative of information relating to: identity, type of item, lot number, manufacturer, serial number, date code, or code error checking.
- 77. (previously presented) A method for labeling an item, comprising:
 obtaining a substrate having at least a portion thereof being made of a
 substantially single material and having at least one diffraction grating embedded
 therein, said grating having a resultant refractive variation with the substantially single

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material at a grating location, said grating providing an output optical signal indicative of a code when illuminated by an incident light signal propagating from outside the substrate, said output signal being a result of passive, non-resonant scattering from said grating when illuminated by said incident light signal; and

said substrate being at least partially disposed on the item.

- (previously presented) The method of claim 77 wherein said refractive 78. index variation comprises at least one refractive index pitch superimposed at said grating location.
- (previously presented) The apparatus of claim 77 wherein said refractive 79. index variation comprises a plurality of refractive index pitches superimposed at said grating location.
- (previously presented) The method of claim 77 wherein said substrate is 80. disposed within said item.
- (previously presented) The method of claim 77 wherein said substrate 81. comprises a particle or bead.
- (previously presented) The method of claim 77, where the item is selected 82. from the group, comprising: large or small objects, products, solids, powders, liquids, gases, plants, currency, ID cards, minerals, cells and/or animals.
- (previously presented) The method of claim 77, where said code 83. comprises a digital code indicative of information relating to: identity, type of item, lot number, manufacturer, serial number, date code, or code error checking.
- (previously presented) The optical identification element of claim 24, 84. wherein said code identifying at least one of the element and said item.

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- 85. (Canceled) The item of claim 24, wherein said code identifying at least one of the element and said item.
- 86. (previously presented) The method of claim 71, wherein said code identifying at least one of the element and said item.
- 87. (previously presented) The method of claim 77, wherein said code identifying at least one of the element and said item.